

Docket No. 1028-001E (1003473-00014)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT OPERATION**

Applicant: Richard SAPIENZA Confirmation No.: 6134  
U.S. Patent No.: 6,544,434 Issued: April 8, 2003  
Serial No.: 10/054,507 Filed: November 12, 2001  
Art Unit: 1755 Examiner: Anthony J. Green  
Title: **ENVIRONMENTALLY BENIGN ANTI-ICING OR DEICING FLUIDS**

Mail Stop PETITIONS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**FAX RECEIVED**

OCT 20 2010

OFFICE OF PETITIONS

**REQUEST TO CORRECT THE ASSIGNEE UNDER 37 CFR §3.81(b)****SIR:**

Pursuant to 37 C.F.R. §3.81(b), Applicant respectfully submits the present Request to correct the assignment data that was incorrectly supplied on in Part B – Fee(s) Transmittal of the PTOL-85 form that was filed with the United States Patent and Trademark Office along with the payment of \$940.00 for the Issue Fee in connection with U.S. Patent No. 6,544,434 (hereinafter “the ‘434 Patent”).

Applicant inadvertently listed the Assignee as the METSS Corporation in item 3 of the Part B – Fee(s) Transmittal portion of PTOL-85 form, attached hereto as Exhibit 1. The Assignee should be MLI Associates, L.L.C. As a result of this error, METSS Corporation was incorrectly listed under item “(73) Assignee” on the Title Page of the ‘434 Patent.

11/03/2010 CKHLUK 00000000 504459 6544434  
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- 1 -

NYC:1003473/00014:94633v1

**Docket No. 1028-001E (1003473-00014)**

Copies of the United States Patent and Trademark Office Notice of Recordation of Assignment Document, Recordation Form Cover Sheet, Assignment document, and Electronic Acknowledgement Receipt, wherein said Notice of Recordation of Assignment Document indicates the Assignment was recorded on Reel/Frame 025017/0425 and recordation date is September 21, 2010, are attached hereto as Exhibit 2.

Applicant respectfully submits that the mistake occurred in good faith that occurred when Applicant inadvertently entered the wrong name of the Assignee on Form PTOL-85. Additionally, applicant respectfully submits that the mistake does not involve changes in the patent that would constitute new matter or require reexamination.

Further, Applicant respectfully requests that the processing fee set forth in 37 C.F.R. §1.17(h), i.e., \$130.00, be charged to Deposit Account No. 50-4459, Order No. 1003473-00014.

In view of the above, Applicant filed a Petition for Certificate of Correction under 37 C.F.R. §1.323 and Certificate of Correction (Form PTO-1050) on September 21, 2010, attached hereto as Exhibit 3. Upon granting the instant Request, Applicants respectfully request that the file be forwarded to Certificates of Corrections Branch, for issuance of a Certificate of Correction, if the Request is granted.

Furthermore, Applicant would like to point out that the fee (\$100.00) was previously submitted for consideration of the Petition for Certificate of Correction, under 37 C.F.R. § 1.323, to correct assignment data, and it is Applicant's belief that no additional fee is required.

**Docket No. 1028-001E (1003473-00014)**

The Commissioner is hereby authorized to charge any additional fees that are required or refund any over payments made in connection with this Request and the Petition for Certificate of Correction to Deposit Account No. 50-4459, Order No. 1003473-00014.

Respectfully submitted,



---

Alan B. Clement  
Registration No. 34,563

Dated: October 20, 2010

MAILING ADDRESS

LOCKE LORD BISSELL & LIDDELL, LLP

3 World Financial Center

New York, N.Y. 10281-2101

P (212) 812-8318

F (212) 812-8390

aclement@lockelord.com

# EXHIBIT 1

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Box ISSUE FEE  
 Commissioner for Patents  
 Washington, D.C. 20231  
**Fax** (703)746-4000

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)  
 7590 11/20/2002

Alan B. Clement  
 HEDMAN & COSTIGAN, P.C.  
 1185 Avenue of the Americas  
 New York, NY 10036

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission**  
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below.

Alan B. Clement, Esq. (Depositor's name)  
 [Signature] (Signature)  
 February 13, 2003 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/054,507	11/12/2001	Richard Saplenza	1028-001E	6134

TITLE OF INVENTION: ENVIRONMENTALLY BENIGN ANTI-ICING OR DEICING FLUIDS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$640	\$300	\$940	02/20/2003

EXAMINER	ART UNIT	CLASS-SUBCLASS
GREEN, ANTHONY J	1755	252-070000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 HEDMAN & COSTIGAN, P.C.  
 2 \_\_\_\_\_  
 3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

METSS Corporation

Westerville, OH

Please check the appropriate assignee category or categories (will not be printed on the patent)

☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee  
☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 08-1540 (enclose an extra copy of this form).

Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature) [Signature] (Date)

Alan B. Clement, Reg. No. 34,563 02/13/2003

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMIT THIS FORM WITH FEE(S)

# EXHIBIT 2

LOCKE LORD BISSELL &amp; LIDDELL LLP COMPANY:3 WORLD FINANCIAL CENTER

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

\*501296973A\*

SEPTEMBER 21, 2010

FTAS

LOCKE LORD BISSELL & LIDDELL LLP  
3 WORLD FINANCIAL CENTER  
NEW YORK, NY 10281**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 09/21/2010

REEL/FRAME: 025017/0425  
NUMBER OF PAGES: 3BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 1003473-00014 (1028-001E&H)

## ASSIGNOR:

SAPIENZA, RICHARD

DOC DATE: 11/29/1999

## ASSIGNEE:

MLI ASSOCIATES, L.L.C.  
720-G LAKEVIEW PLAZA BOULEVARD  
COLOMBUS, OHIO 43085

SERIAL NUMBER: 10408699

FILING DATE: 04/07/2003

PATENT NUMBER: 6943931

ISSUE DATE: 01/18/2005

TITLE: ENVIRONMENTALLY BENIGN ANTI-ICING OR DEICING FLUIDS

SERIAL NUMBER: 10054507

FILING DATE: 11/12/2001

PATENT NUMBER: 6544434

ISSUE DATE: 04/08/2003

TITLE: ENVIRONMENTALLY BENIGN ANTI-ICING OR DEICING FLUIDS

P.O. Box 1450, Alexandria, Virginia 22313-1450 - www.USPTO.gov

10/20/2010 14:48 FAX

LOCKE-LORD-LLP

009/016

LOCKE LORD BISSELL & LODELL LLP COMPANY: 3 WORLD FINANCIAL CENTER

025017/0425 PAGE 2

SERIAL NUMBER: 09676377

FILING DATE: 09/29/2000

PATENT NUMBER: 6315919

ISSUE DATE: 11/13/2001

TITLE: ENVIRONMENTALLY BENIGN ANTI-ICING OR DEICING FLUIDS

ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION



Atty. Docket No. 1003473-00021


RECORDATION FORM COVER SHEET  
PATENTS ONLY**20873**  
↑CUSTOMER NUMBER↑To The Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy thereof

1. Name of conveying parties:  Richard SAPIENZA	2. Name and address of receiving party(ies):  Name: MLI Associates, L.L.C.  Address: 720-G Lakeview Plaza Boulevard Columbus, Ohio 43085
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment  Execution Date:	4. U.S. Patent number(s)  6,843,931 issued on January 18, 2005 6,544,434 issued on April 8, 2003 6,315,919 issued on November 13, 2001
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Alan B. Clement Locke Lord Bissell & Liddell LLP Address: 3 World Financial Center New York, New York 10281	6. Total number of applications involved: ONE 7. Total fee (37 CFR 3.41): \$40.00  <input type="checkbox"/> A check in the amount of _____ is enclosed. <input checked="" type="checkbox"/> Charge to Deposit Account No. <u>50-4459</u> , Order No. <u>1003473-00021</u> . <input checked="" type="checkbox"/> Charge any deficiencies to Deposit Account <u>50-4459</u> , Order No. <u>1003473-00021</u> .

DO NOT USE THIS SPACE

## 8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of original document.

Name of Person Signing  <u>Alan B. Clement</u>	Signature 	Date:  <u>September 21, 2010</u>
Reg. No. <u>34,563</u> Total no. of pages including cover sheet, attachments and document: <u>2</u>		

DO NOT DETACH THIS PORTION

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services  
Director of the U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, DC 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, DC 20503.

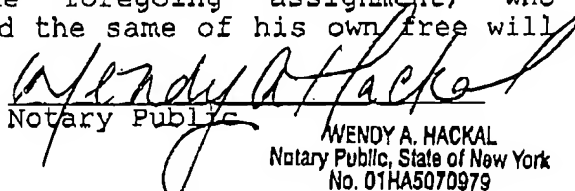
1028-001

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which I acknowledge receipt, I, Richard Sapienza, sell and assign to MLI Associates L.L.C. its successors and assigns my entire right, title and interest in and to the improvements in ENVIRONMENTALLY BENIGN ANTI-ICING OR DEICING FLUIDS, attorney docket 1028-001, as described in United States Patent No. 5,876,621, issued March 2, 1999, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements to said MLI Associates L.L.C., or its successors or assigns and agree that on request and without further consideration, but at the expense of said MLI Associates L.L.C., I will communicate to said MLI Associates L.L.C., or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said MLI Associates L.L.C., its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

November 29, 1999  
Richard SapienzaState of New York,  
County of Suffolk ) ss.:

This 29th day of November, 1999, before me personally came the above-named Richard Sapienza, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that she executed the same of his own free will for the purposes therein set forth.

  
Notary PublicWENDY A. HACKAL  
Notary Public, State of New York  
No. 01HA5070979  
Qualified in Suffolk County  
Commission Expires Jan. 6, 2001

## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.1

09/21/2010  
501296973

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Richard Saplenza	11/20/1999
RECEIVING PARTY DATA	
Name:	MLI Associates, L.L.C.
Street Address:	720-G Lakaview Plaza Boulevard
City:	Columbus
State/Country:	OHIO
Postal Code:	43085
PROPERTY NUMBERS Total: 3	
Property Type	Number
Patent Number:	6843931
Patent Number:	8544434
Patent Number:	8315919
CORRESPONDENCE DATA	
Fax Number:	(212)303-2754
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	2124158800
Email:	dfallon@lockelord.com
Address Line 1:	3 World Financial center
Address Line 4:	New York, NEW YORK 10281
ATTORNEY DOCKET NUMBER:	1003473-00014(1028-001E&H
NAME OF SUBMITTER:	Alan B. Clement
Total Attachments: 2 source=Assignment1003473#page1.tif source=Assignment1003473#page2.tif	

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# EXHIBIT 3

Docket No. 1028-001E (1003473-00014)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT OPERATION

In re United States Patent No.: 6,544,434

Issued: April 8, 2003

Title: ENVIRONMENTALLY BENIGN ANTI-ICING OR DEICING  
FLUIDS

Inventor: Richard SAPIENZA

New York, New York 10281

September 21, 2010Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450PETITION FOR CERTIFICATE  
OF CORRECTION UNDER 37 C.F.R. §§ 1.323

SIR:

Attached hereto as Exhibit A is a Certificate of Correction to correct the name of the Assignee to MLI Associates, L.L.C., having an address at 720-G Lakeview Plaza Boulevard, Columbus, Ohio 43085 in the above-identified patent which represents a mistake of minor nature. Applicant respectfully submits that the mistake occurred in good faith. Further, applicant respectfully submits that the mistake does not involve changes in the patent that would constitute new matter or require reexamination.

Upon a review of the patent, Applicant noted that METSS Corporation was inadvertently listed as the Assignee, the Assignee should be MLI Associates, L.L.C. and not "METSS Corporation." The error is believed to be the result of a good-faith mistake that occurred when applicant inadvertently entered the wrong name of the assignee on U.S. PTO Form PTOL-85. Accordingly, Applicant respectfully requests entry of the subject Certificate of Correction.

- 1 -

NYC:1003473/00013:94063v1

Docket No. 1028-001E (1003473-00014)

Pursuant to 37 C.F.R. § 1.20(a), the Commissioner is hereby authorized to charge the fee that is required in connection with this petition for a Certificate of Correction to Deposit Account No. 50-4459.

The Commissioner is hereby authorized to charge any additional fees that are required or over payments made in connection with this petition for a Certificate of Correction to Deposit Account No. 50-4459.

Respectfully submitted,



Alan B. Clement  
Registration No. 34,563

Dated: September 21, 2010

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PTO/SB/44 (07-03)

Approved for use through 01/31/2004, OMB 0651-0033  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NUMBER : 6,544,434  
DATED : April 8, 2003  
INVENTOR(S) : Richard SAPIENZA

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the front page of the patent, on line (73) change Assignee name to MLI Associates, L.L.C., 720-G Lakeview Plaza Boulevard, Columbus, Ohio 43085.

ADDRESS ASSOCIATED WITH CUSTOMER NUMBER:  
20873

PATENT NO. 6,544,434

No. of additional copies



This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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